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Attorney Docket No. CSLL-628  
56247-165

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Charles Tapalian and Juha-Pekka Laine  
Serial No. 09/896,520  
Filing Date: June 28, 2001  
Title: MICRO-OPTIC ABSORPTION SPECTROMETER  
Art Unit 2878

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CERTIFICATION UNDER 37 CFR § 1.8

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I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

10-19-01  
Date

Diane Valeta  
Diane Valeta

Dear Sir:

RESPONSE TO NOTICE TO FILE MISSING PARTS

In response to the Notice to Filing Missing Parts for the above-identified application dated August 21, 2001, Applicant submits a signed Declaration. Also submitted are three (3) sheets of formal drawings. Applicant requests that these formal drawings be substituted for the originally filed drawings.

The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 50-1133.

Respectfully submitted,

Elizabeth E. Kim

Elizabeth E. Kim, Reg. No. 43,334  
McDermott, Will, & Emery  
28 State Street  
Boston, MA 02109-1775  
Tel 617-535-4411  
Fax 617-535-3800

Date: October 19, 2001



RECEIPT \$

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Diane Valeta  
Diane Valeta

Assistant Commissioner for Patents  
Washington, DC 20231

Transmitted herewith for filing are the following documents:

- ☒ Copy of Notice to File Missing Parts
- ☒ Declaration for Patent Application
- ☒ Response to Notice to File Missing Parts, including eight (8) Sheets of Formal Drawings
- ☒ Request for Correction to Filing Receipt

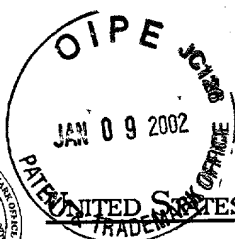
A \$65.00 check is enclosed for the surcharge for a small entity set forth in 37 CFR 1.16(e) in compliance with 37 CFR 1.27. The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 50-1133.

Respectfully submitted,

Elizabeth E. Kim

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McDermott, Will, & Emery  
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Boston, MA 02109-1775  
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/896,520	06/29/2001	Haig Charles Tapalian	CSLL-628

CONFIRMATION NO. 8156

## FORMALITIES LETTER



\*OC000000006455655\*

McDermott, Will & Emery  
28 State Street  
Boston, MA 02109

Date Mailed: 08/21/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawings have a line quality that is too light to be reproduced (weight of all lines and letters must be heavy enough to permit adequate reproduction) or text that is illegible (reference characters, sheet numbers, and view numbers must be plain and legible) see 37 CFR 1.84(l) and (p)(1));

*A copy of this notice **MUST** be returned with the reply.*

01/15/2002 AGDITOM 00000072 09896520

01 FC:205

65.00 0P

20060101 090956860



#8

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CERTIFICATION UNDER 37 CFR § 1.8

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Date 10-19-01  
Diane Valeta

Assistant Commissioner For Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

REQUEST FOR CORRECTION TO FILING RECEIPT

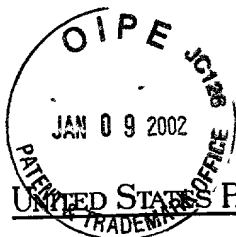
Please correct the Filing Receipt for the above-referenced application as indicated in red on the enclosed copy. In particular, please change applicant's correspondence address by adding Mark G. Lappin.

Thank you for your attention to this matter. If you have any questions, please do not hesitate to call me at 617-535-4411.

Respectfully submitted,

By Elizabeth E. Kim  
Elizabeth E. Kim, Reg. No. 43,334  
McDERMOTT, WILL & EMERY  
28 State Street  
Boston, MA 02109  
Tel (617) 535-4411  
Fax (617) 535-3800

Date: October 19, 2001



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/896,520	06/29/2001	2878	382	CSLL-628	3	23	1

Mark G. Lappin

McDermott, Will & Emery  
28 State Street  
Boston, MA 02109

RECEIVED  
AUG 28 2001

MW&amp;E

CONFIRMATION NO. 8156

## FILING RECEIPT



\*OC000000006455654\*

Date Mailed: 08/21/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Haig Charles Tapalian, Canton, MA;  
Juha-Pekka Laine, Boston, MA;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 08/20/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

## Title

Micro-optic absorption spectrometer

Preliminary Class

250

DOCKETED  
PCM

10/21/01 Correct Filing

Receipt

(2/21/02 Final)

*Charthy Huang*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202



PART 2 - COPY TO BE RETURNED WITH RESPONSE

0989520-01090